

1130 U.S. PTO  
08/08/01

08-09-01

A

EXPRESS MAIL CERTIFICATE

Date 8/8/01 Label No. 2903058185US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Name (Print) DB Peck Signature DB Peck

10/08/01  
09/924944  
Jc857 U.S. PTO

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No: 0630/1G704US2

**DARBY & DARBY P.C.**

805 Third Avenue  
New York, New York 10022  
212-527-7700

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Douglas C. Harnish; Marshall S. Scicchitano; Sotirios K. Karathanasis; Chu-Lai Hsiao

Title: ASSAY TO IDENTIFY ESTROGEN RECEPTOR DEPENDENT LIGANDS THAT REGULATE THE HEPATIC LIPASE PROMOTER

including the items indicated:

1. Specification and 25 claims: 1 indep.; 24 dep.; \_ multiple dep.; including 23 page(s) of written description; 3 page(s) of claims; 1 page(s) of abstract.
2. ☐ Drawings, \_ sheets (Figs. )
3. ☐ Executed Declaration/Power of Attorney  
☒ Unexecuted Declaration/Power of Attorney

09924944-080801

4. ☐ Application Data Sheet under 37 C.F.R. §1.76.

5. ☐ Assignment for recording to:

☐ Assignment was recorded at Reel No. , Frame No. , on .

☒ Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee: American Home Products Corporation  
Five Giralda Farms  
Madison, NJ 07940

6. ☐ The applicant claims small entity status.

7. ☐ Priority is claimed under 35 U.S.C. §119(b) of:

Country:

Number:

Date:

A certified copy of the prior document ☐ is enclosed ☐ will follow  
☐ was filed in a previous application.

8. ☒ Priority is claimed under 35 U.S.C. §119(e) of:

Number: US 60/223,647

Date: 8/8/00

Number: US 60/255,837

Date: 12/15/00

9. ☒ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.

10. ☐ Payment in amount of \$800.00, (\$800 filing; \$0 recording)

Serial No. TBA

Docket No. 0630/1G704US2

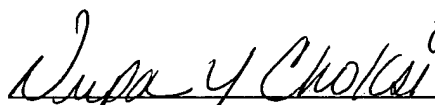
in the form of  
☐ check  
☐ deposit account no. 04-0100  
☐ credit card (see attached form)  
(See attached **Fee Computation Sheet**)

- 11. ☐ Preliminary Amendment
- 12. ☐ Information Disclosure Statement
- 13. ☐ Nucleotide or Amino Acid Sequence Listing.

☐ Hard Copy      ☐ CRF

Date: August 8, 2001

Respectfully submitted,



Neepa Y. Choksi, Ph.D.

Reg. No. 47,488

Agent for Applicant(s)

Serial No. TBA

Docket No. 0630/1G704US2

0924944-080801

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$710.00
Total Claims	25 - 20	- 0 = 5	x \$18.00	\$90.00
Independent Claims	1 - 3	- 0 = 0	x \$80.00	\$0.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$0.00
SUBTOTAL . . . . .				\$800.00
[[ ] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$0.00
Fee for recordation of assignment (\$40.00) . . . . .				\$0.00
Charge for filing non-English language application (\$130.00) . . . . .				\$0.00
TOTAL . . . . .				\$800.00

## EXPRESS MAIL CERTIFICATE

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Signature

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07278

PATENT TRADEMARK OFFICE

Docket No.: 0630/1G704US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Douglas C. Harnish; Marshall S. Scicchitano; Sotirios K. Karathanasis; Chu-Lai Hsiao

Serial No.: TBA

Art Unit: TBA

Confirmation No.: TBA

Filed: Concurrently Herewith

Examiner: TBA

For: ASSAY TO IDENTIFY ESTROGEN RECEPTOR DEPENDENT LIGANDS THAT REGULATE THE HEPATIC LIPASE PROMOTER

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner of Patents  
Washington, DC 20231

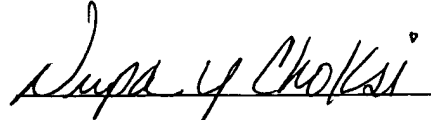
Sir:

I hereby certify that, on information and belief, the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

T06090"44642660

Respectful bmitted,

Dated: August 8, 2001



Neepa Y. Choksi, Ph.D.

Reg. No. 47,488

Agent for Applicant(s)

DARBY & DARBY P.C.  
805 Third Avenue  
New York, New York 10022  
212-527-7700

This request must be signed in compliance with 37 CFR 1.33(B) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

0630/1G704-0303